

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT	(\$)	1,440.00
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Complete If Known

Application Number	09/760,388
Filing Date	January 10, 2001
First Named Inventor	Juha Punnonen, et al.
Examiner Name	Gerald R. Ewoldt
Group / Art Unit	1644
Attorney Docket No.	0154.310US (formerly 02-102320US)

METHOD OF PAYMENT (check one)**FEE CALCULATION (continued)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number
Deposit
Account
Name

Maxygen, Inc.

50-0990

**X Charge Any Additional
Fee Required Under
37 CFR 1.16 and 1.17**

2. ☐ **Payment Enclosed:**

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	

101	740	201	370	Utility filing fee
106	310	206	155	Design filing fee
107	480	207	240	Plant filing fee
108	690	208	345	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20**	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	-3**	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent				<input type="text"/>	= <input type="text"/>

****or number previously paid, if greater; For Reissues, see below**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)
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3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code
(1)	(1)
(2)	(2)
(3)	(3)
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(00)	(00)

105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1440	218	720	Extension for reply within fourth month
128	1960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1280	241	640	Petition to revive - unintentional
142	1280	242	640	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional application
126	180	126	180	Submission of Information Disclosure Statement
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)	1.440.00
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SUBMITTED BY

Typed or Printed Name	Margaret A. Powers
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Signature

Date _____

3/21/02

Complete (if applicable)

Reg. Number	39,804
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Deposit Account	
User ID	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: August 21, 2002

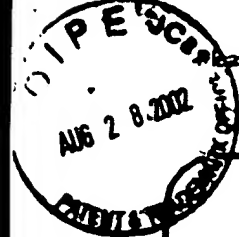
Typed or
Printed Name

Julie K. Mausen

Signature

Date _____

Yun 21 Mar



ORIGINALY FILED

(Modified) PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

09/760,388

Filing Date

January 10, 2002

First Named Inventor

Juha Punnonen, et al

Group Art Unit

1644

Examiner Name

Gerald R. Ewoldt

Total Number of Pages in This Submission

Attorney Docket Number

0154.310US (formerly 02-102320US)

ENCLOSURES (check all that apply)

Fee Transmittal Form



Fee Attached

Amendment / Response TO
*RESTRICTION REQUIRE-
MENT (35 USC 1.21)*
After Final

Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement

Certified Copy of Priority
Document(s)Response to Missing Parts/
Incomplete ApplicationResponse to Missing
Parts under 37 CFR
1.52 or 1.53Assignment Papers
(for an Application)

Drawing(s)



Licensing-related Papers

Petition Routing Slip (PTO/SB/69)
and Accompanying PetitionPetition to Convert to a
Provisional ApplicationChange of Correspondence
Address

Terminal Disclaimer



Small Entity Statement



Request for Refund

After Allowance Communication
to GroupAppeal Communication to Board
of Appeals and InterferencesAppeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Additional Enclosure(s)
(please identify below):Return postcard; Request
to Change Residence
Address of Inventor**Authorization to Charge Deposit Account**Please charge Deposit Account No. 50-0990 for any additional fees associated with
this paper or during the pendency of this application, including any extensions of time
for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Margaret A. Powers, Reg. No. 39,804, Maxygen, Inc.

Signature

Margaret A. Powers

Date

*8/21/02***CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 21, 2002

Typed or printed name

Julie K. Mausen

Signature

Julie K. Mausen

Date

*Aug 21, 2002*RECEIVED +
SEP 13 2002
TECH CENTER 1600/2002